

(Do not use for an IRA)

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**INSTRUCTIONS**

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The instructions below will help you change the ownership of your existing account. In most cases, you will need to have your signature(s) authenticated (Medallion Signature Guarantee, SVP or Notary) to change the ownership. In some situations, we will need additional documentation.

Please note that current banking instructions and account options will remain on the account with this change of ownership, unless otherwise noted. To provide new banking instructions, attach a voided check or deposit slip to this form. To update account options, complete and attach the Account Options Form. All forms can be downloaded from the Funds' website at [dodgeandcox.com](http://dodgeandcox.com).

If you have any questions (e.g., appropriate legal documentation, cost basis implications) or if your situation is not addressed, please call Client Services at 800-621-3979 for assistance.

The Change of Ownership Form has four parts and addresses various situations. The following instructions correspond to **Part 3** of the form.

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**Part 3A. Change from an Individual Account to a Joint Tenant Account**

- Current account owner must obtain a **Medallion Signature Guarantee** in Part 4.
- New account owner must provide signature in Part 5.

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**Part 3B. Change from a Joint Account to an Individual Account because of:****Death of an Account Owner (Joint Tenants with Right of Survivorship)**

- Surviving account owner(s) must obtain a **Medallion Signature Guarantee** in Part 4, OR provide a certified death certificate.
- If required by law in your state of residence, provide a state inheritance tax waiver.

**Divorce**

- Account owners must obtain a **Medallion Signature Guarantee** in Part 4.
- Please provide a **Medallion Signature Guarantee** letter of instructions and a completed **Account Application** if transferring a percentage of the account to a new owner.

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**Part 3C. Transfer of Ownership**

- Current account owner must obtain a **Medallion Signature Guarantee** in Part 4.
- Recipient must provide a completed Account Application if transferring to a new account.

(Instructions continue on reverse side)

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INSTRUCTIONS  
(continued)

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Part 3D. Change of / to a Trust because of:

Individual or Joint Tenants Account to a Trust where Taxpayer Identification Number and account owner(s) of Trust **are not** changing

- Account owner(s) must provide signature(s) in Part 4.
- A copy of the title and signature page(s) of the Trust Agreement must be attached.

Individual or Joint Tenants Account to a Trust where Taxpayer Identification Number and account owner(s) of Trust **are** changing

- Account owner(s) must obtain a **Medallion Signature Guarantee** in Part 4.
- A copy of the title and signature page(s) of the Trust Agreement must be attached.
- If account owner/Trustee and/or Taxpayer Identification Number is changing, provide a completed **Account Application**.

Re-Registration

- Provide new Trust name.
- Provide Taxpayer Identification Number.
- New Trustee(s) must obtain a **Medallion Signature Guarantee** in Part 4.

Death of a Trustee

- Surviving Trustee(s) must obtain a **Medallion Signature Guarantee** in Part 4, OR provide a certified death certificate.
- If Trustee and/or Taxpayer Identification Number is changing, provide a **Medallion Signature Guarantee** letter of instructions and a completed **Account Application**.

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Part 3E. UGMA/UTMA to an Individual Account Due to Minor Reaching Age of Majority

- Custodian of account must provide signature in Part 4.
- Enclose a copy of the former minor's birth certificate, or the custodian must have signature authenticated in Part 4.
- Former minor must provide signature in Part 5.

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Part 3F. UGMA/UTMA Change of Custodian

- Current custodian must have signature authenticated in Part 4.
- New custodian must provide signature in Part 5.
- If current custodian is deceased, new custodian must have signature authenticated in Part 4.

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USA PATRIOT  
ACT NOTICE

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person.

To invest in the Funds we require your name, address, and other information that will allow us to identify you.

MAILING  
ADDRESS

*Regular Mail:*

Dodge & Cox Funds  
c/o DST Asset Manager Solutions, Inc.  
P.O. Box 219502  
Kansas City, MO 64121-9502

*Express, Certified, or Registered Mail:*

Dodge & Cox Funds  
c/o DST Asset Manager Solutions, Inc.  
430 W 7th Street, Suite 219502  
Kansas City, MO 64105-1407

PART 1  
CURRENT ACCOUNT  
INFORMATION

Name/Account Registration

Name/Account Registration

Fund

Account Number

Complete if you would like to change the address or telephone number of the above account.

Mailing Address

City

State

Zip Code

( )

Contact Phone Number

Email Address

If mailing address is a P.O. Box, a street address is also required by the USA PATRIOT Act.

Street Address

City

State

Zip Code

PART 2  
CONSENT FOR  
ELECTRONIC  
DELIVERY

Indicate if you would like to receive your statements and other important documents online (**Corporate and Institutional accounts excluded**). You will receive a notification to the email address provided in Part 1 informing you that the documents are available for viewing on the Funds' website. Confidential account information will not be sent via email. You can change this election at any time.

Document Type for Electronic Delivery:

- Account Statements    Confirmation Statements    Fund Reports, Prospectus, and Proxies  
 Additional Fund Communications    Tax Forms



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**PART 3 (continued)  
CHANGE OF  
OWNERSHIP  
OPTIONS**

(see instruction page for requirements)

E. Change from UGMA/UTMA to Individual Account (Minor has reached age of majority)

Please note that any banking instructions currently established for this account will be deleted. To update bank information, attach a voided check or deposit slip to this form.

F. Change of UGMA/UTMA Custodian

\_\_\_\_\_  
 Prefix      First Name (Name of New Custodian)      Middle Initial      Last Name  
 \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_      \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Custodian's Social Security Number      Custodian's Date of Birth  
 \_\_\_\_\_  
 Mailing Address  
 \_\_\_\_\_  
 City      State      Zip Code  
 (      )  
 Contact Phone Number      Email Address

**PART 4  
CURRENT ACCOUNT  
OWNERS'/AGENTS'  
SIGNATURE  
AUTHENTICATION**

▪ All account owners/agents must sign exactly as names appear on the current account registration.



\_\_\_\_\_  
 Signature      Date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 \_\_\_\_\_  
 Print Name      Capacity of Signer



\_\_\_\_\_  
 Signature      Date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 \_\_\_\_\_  
 Print Name      Capacity of Signer

(if applicable)

Medallion Signature Guarantee (if specified), SVP Stamp Guarantee, or Notary Public Stamp

NOTE: A medallion signature guarantee and SVP stamp may be obtained from a domestic bank or trust company, broker, dealer, clearing agency, savings association, or other financial institution which participates in a Medallion program recognized by the Securities Transfer Association. A notary public stamp can be obtained from a notary public signing agent.

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**PART 5  
CERTIFICATION AND  
OTHER REQUIRED  
SIGNATURES**

If Part 3A was selected, the new joint tenant must sign below. If Part 3D was selected, the new trustee(s) must sign below. If Part 3E was selected, the former minor must sign below. If Part 3F was selected, the new custodian must sign below.

I have received and read the Dodge & Cox Funds' prospectus and the summary prospectus (available at [dodgeandcox.com](http://dodgeandcox.com)) for each of the Funds in which I am investing and believe that the investment is suitable for me. I understand the investment objectives and policies of the Fund(s) and agree to be bound by the terms of the prospectus. I am of legal age in my state of residence and have full authority to own shares of the Dodge & Cox Fund noted and to establish and use any related privileges.

The Funds, Dodge & Cox, DST Asset Manager Solutions, Inc., State Street Bank and Trust Company, and any affiliate and/or any of their directors, trustees, employees, and agents will not be held liable for any claims, losses, or expenses (including legal fees) for acting on any instructions or inquiries believed to be genuine, provided that reasonable security procedures have been followed. If an account has multiple owners, the Funds may rely on the telephone instructions of any one account owner. I consent to the recording of any telephone conversation(s) when I call the Funds regarding my account(s). I will review all statements upon receipt and will notify the Funds immediately if there is a discrepancy.

Select one:

- I am a U.S. citizen.     I am a resident alien.

I certify under penalties of perjury that: (1) the Social Security number provided above is correct; and (2) I am not subject to IRS backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the IRS that I am subject to backup withholding; or (c) I have been notified by the IRS that I am no longer subject to backup withholding.

**OR**

- I am a nonresident alien and certify under penalties of perjury that I am not a U.S. citizen or resident alien, and that I am an "exempt foreign person" as defined under IRS regulations. I have attached a completed W-8BEN form and a copy of my government-issued ID.

➔ \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_/\_\_\_\_/\_\_\_\_  
Signature      Name/Capacity of Signer (if applicable)      Date

➔ \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_/\_\_\_\_/\_\_\_\_  
Signature      Name/Capacity of Signer (if applicable)      Date